

DT07 Rec'd PCT/PTO 2.5

TRADEMARK OFFICE IN THE UNITED STATES

IN RE APPLICATION OF:

Munehiro CHOSA

SERIAL NO: FILED:

10/501,926

July 28, 2004

FOR:

AROMATIC POLYCARBONATE RESINS FOR OPTICAL DISK SUBSTRATES

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C. Norman F. Oblon

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Roland E. Martin Registration No. 48,082



# **LIST OF RELATED CASES**

Docket Number	Serial or Patent Number	Filing or Issue Date	Patent Appl. <u>Publication</u> <u>No.</u>	Inventor/ Applicant
2421-0372-0 X	6,316,071	11/13/01	-	CHOSA, et al.
216854US0 X PCT	6,716,510	04/06/04	-	TOMIOKA, e t al.
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256442US0 X PCT*	10/501,926	07/28/04	-	CHOSA

<sup>\*</sup>Present Application; listed for information NFO/wal/get

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.		SERIAL NO.					
			256442US0X PCT	10/501,926						
		61	PE	APPLICANT						
LIST OF	REFE	RENCES CITED BY API	PLICANT (	Munehiro CHOSA						
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July 28, 2004  U.S. PATENT DOCUMENTS  EXAMINER DOCUMENT DATE NAME CLASS SUB FILING DATE  NAME CLASS SUB FILING DATE										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE				
	AA	6,316,071 B1	11/13/2001	Chosa et al.						
	AB	6,716,510 B2	04/06/2004	Tomioka et al.						
	AC	US 2004/0143048 A1	07/22/2004	Tomioka et al.						
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)										
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	AZ		Additional References sheet(s) attached							
Examiner					Date Cor	te Considered				
*Examiner: In conformance	itial if r	reference is considered, ot considered. Include co	whether or not	citation is in conformance with MPEP 6 with next communication to applicant.	09; Draw lii	ne through	citation if no	t in		